

*This brochure supplement provides information about Andrew Michael Stewart that supplements the WMS Group LLC brochure. You should have received a copy of that brochure. Please contact Andrew Michael Stewart if you did not receive WMS Group LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Andrew Michael Stewart is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **WMS Group LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Andrew Michael Stewart**

Personal CRD Number: 7205788  
Investment Adviser Representative

WMS Group LLC  
14249 Thompson Road  
Folsom, LA 70437  
(985) 809-0530  
(985) 377 6228

[andrew@amsresourcesnetwork.com](mailto:andrew@amsresourcesnetwork.com)

## Item 2: Educational Background and Business Experience

**Name:** Andrew Michael Stewart      **Born:** 1988

### Educational Background and Professional Designations:

#### Education:

Bachelors of Science Finance, University of New Orleans - 2017

#### Business Background:

11/2019 - Present	Investment Adviser Representative WMS Group LLC
09/2017 - Present	Owner/General Agent AMS Resources Network
08/2014 - 12/2017	Student University of New Orleans
08/2009 - 05/2013	Sales Associate Shoe Carnival

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Andrew Michael Stewart is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. WMS Group LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of WMS Group LLC in their capacity as a licensed insurance agent.

## **Item 5: Additional Compensation**

Andrew Michael Stewart does not receive any economic benefit from any person, company, or organization, other than WMS Group LLC in exchange for providing clients advisory services through WMS Group LLC.

## **Item 6: Supervision**

As a representative of WMS Group LLC, Andrew Michael Stewart is supervised by William M Stewart, the firm's Chief Compliance Officer. William M Stewart is responsible for ensuring that Andrew Michael Stewart adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for William M Stewart is (985) 809-0530.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Andrew Michael Stewart has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Andrew Michael Stewart has NOT been the subject of a bankruptcy.